



PATENTS  
112056-0171  
P01-1599

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of:  
Steven S. Watanabe et al.

Serial No.: 10/767,640

Filed: January 29, 2004

For: SYSTEM AND METHOD FOR  
COORDINATED BRINGUP OF A  
STORAGE APPLIANCE IN A  
CLUSTER CONFIGURATION

Examiner: Not yet assigned

Art Unit: 2186

Cesari and McKenna, LLP  
88 Black Falcon Avenue  
Boston, MA 02210  
February 2, 2005

"Express Mail" Mailing-Label Number: EV 336756850 US

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION-DISCLOSURE STATEMENT**

In keeping with the duty of candor and good faith owed to the Patent and Trade-mark Office, Applicants wish to bring to the Examiner's attention the references listed on the accompanying form PTO-1449. A copy of each listed reference is enclosed.

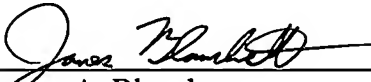
To the extent required by 37 C.F.R. §1.98(a)(3), Applicants have described what they consider to be the relevance of any foreign-language reference. The Office may find additionally relevant material in these or other references.

PATENTS  
112056-0171  
P01-1599

Please charge any additional fee occasioned by this paper to our Deposit Account

No. 03-1237.

Respectfully submitted,

  
\_\_\_\_\_  
James A. Blanchette  
Reg. No. 51,477  
CESARI AND MCKENNA, LLP  
88 Black Falcon Avenue  
Boston, MA 02210-2414  
(617) 951-2500

+

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet

**1**

of

2

**Complete if Known**

Application Number

10/767,640

Filing Date

January 29, 2004

First Named Inventor

Steven S. Watanabe et al.

### Group Art Unit

2186

**Examiner Name**

Not yet assigned

Attorney Docket Number

112056-0171

## U.S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

[illegible]

**Examiner  
Signature**

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:** Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

+

+

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(use as many sheets as necessary)*

Sheet	2	of	2
-------	---	----	---

**Complete if Known**

<b>Application Number</b>	10/767,640
<b>Filing Date</b>	January 29, 2004
<b>First Named Inventor</b>	Steven S. Watanabe et al.
<b>Group Art Unit</b>	2186
<b>Examiner Name</b>	Not yet assigned
<b>Attorney Docket Number</b>	112036-0171

## OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

[illegible]

**Examiner  
Signature**

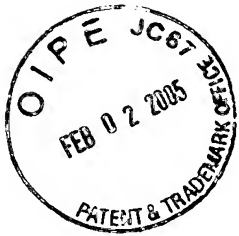
Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

**Burden Hour Statement:** This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

+



PATENTS  
112056-0171  
P01-1599

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re The Application of:  
Steven S. Watanabe et al.

Serial No.: 10/767,640

Filed: January 29, 2004

For: SYSTEM AND METHOD FOR  
COORDINATED BRINGUP OF A  
STORAGE APPLIANCE IN A  
CLUSTER CONFIGURATION

Examiner: Not yet assigned

Art Unit: 2186

Cesari and McKenna, LLP  
88 Black Falcon Avenue  
Boston, MA 02210  
February 2, 2005

**EXPRESS-MAIL DEPOSIT**

“Express Mail” Mailing-Label Number: EV 336756850 US

The following papers are being deposited with the United States Postal Service  
“Express Mail Post Office to Addressee” service pursuant to 37 C.F.R. §1.10:

X Information Disclosure Statement  
X Return Receipt Postcard

X PTO-1449 and Copies of References